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**TECHNOLOGY CENTER R3700** 

373/

Case Docket No. LOMAU.138A Date: January 14, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Yong Hua Zhu, et al.

Appl. No.

09/921,158

Filed

August 1, 2001

For

METHOD AND APPARATUS

FOR CLOSING VASCULAR

PUNCTURE USING

HEMOSTATIC MATERIAL

Examiner

Paul A. Roberts

Group Art Unit:

3731

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

<u> Japuary 14, 2004</u>

Gen L. Nynali, Reg. No. 46,188

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with twelve (12) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Glen L. Nattall

Registration No. 46,188 Attorney of Record Customer No. 20,995

(949) 760-0404



# INFORMATION DISCLOSURE STATEMENT

Applicant 4-1RAS

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing twelve (12) references that are also enclosed. This Information Disclosure Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Glen L. Nuttal

Registration No. 46,188

Attorney of Record

Customer No. 20,995

(949) 760-0404

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FORM PTO-1449 INFORMATION	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY: DOCKET NO. LOMAU.138A	APPLICATION NO. 09/921,158		
В	YAPPLICANT JAN 2 0 2004	APPLICANT Zhu, of al.			
(USE SEVERA	L SHEETS IF VECESSARY)	FILING DATE 8/1/01	GROUP 3731		

,	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,653,388	04/04/72	Tenckhoff			
	2	4,317,445	03/02/82	Robinson			
	3	4,772,266	09/20/88	Groshong			
	4	4,865,593	09/12/89	Ogawa, et al.			
	5	4,921,479	05/01/90	Grayzel			
	6	4,961,729	10/09/90	Vaillancourt			-
-	7	4,984,564	01/15/91	Yuen		RF	CEIVED
	8	5,057,083	10/15/91	Gellman			
•	9	5,176,128	01/05/93	Andrese		JAN	2 8 2004
	10	5,176,129	01/05/93	Smith ·		ECHNOLO	GY CENTER R3700
	11	5,306,254	04/26/94	Nash, et al.			_
	12	5,649,911	07/22/97	Trerotola			

FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES .	NO
	4						

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	_					

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EXAMINER	DATE CONSIDERED
I	

'EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.